

Assessment of audit days: 2012-2013 strategic plan

AUDIT WORK SCHEDULE AS AT 31 May 2012	Last audited	Risk ranking	Original Estimated Audit Days 2012-13	Revised Estimated Audit Days 2012-13	Actual Audit Days 2012-13	Balance
FINANCIAL CONTROL AUDITS						
<u>Started</u>						
Stock and Work in Progress	2010	3	10	10	10	0
Government & European Grants	2010	1	20	20	7	13
<u>Not Started</u>						
Budgetary Preparation and Control	2011	1	20	20	0	20
Council Tax	2010	1	20	20	0	20
Procurement	2010	2	15	15	0	15
Cash & Income Banking	2010	2	15	15	0	15
Non Domestic Rates	2010	2	15	15	0	15
Capital Contracts	2011	2	15	15	0	15
Capital Accounting	2010	2	15	15	0	15
Payroll	2011	2	15	15	0	15
Debtor Accounts	2010	2	15	15	0	15
Tendering Procedures	2011	2	15	15	0	15
Unified Benefit System	2010	2	15	15	0	15
Creditor Payments	2010	3	10	10	0	10
Car Allowances & Subsistence	2011	3	10	10	0	10
Treasury Management	2012	3	10	10	0	10
Contract Operating Leases	2012	3	10	10	0	10
General ledger operations	2012	3	10	10	0	10
Section Total			255	255	17	238
BUSINESS SYSTEMS AUDITS						
<u>Not Started</u>						
Resource Link	2011	2	15	15	0	15
Planning/Building Standards	2010	2	15	15	0	15
Care First	2010	2	15	15	0	15
Roads Costing System	2010	3	10	10	0	10
eProcurement	2010	3	10	10	0	10
Environmental Health	2011	3	10	10	0	10
Fleet Management	2010	3	10	10	0	10
Customer Service Centre	2010	3	10	10	0	10
Comino	2011	3	10	10	0	10
Leisure Management System	2010	3	10	10	0	10
Pyramid Performance Management	2011	4	5	5	0	5
Electronic Timesheets	2010	4	5	5	0	5
IKEN Case Management	2010	4	5	5	0	5
Library Management System	2011	4	5	5	0	5
Licensing	-	4	5	5	0	5
Section Total			140	140	0	140

<i>CORPORATE PERFORMANCE AUDITS</i>						
<i>Started</i>						
Statutory Performance Indicators	2011	2	15	15	1	14
<i>Not Started</i>						
Asset Management Partnerships	2011	1	20	20	0	20
Procurement	2010	1	20	20	0	20
Information Management	2011	2	15	15	0	15
Governance and Accountability	2010	2	15	15	0	15
Financial Management	2010	2	15	15	0	15
People Management	2010	3	10	10	0	10
Risk Management	2010	3	10	10	0	10
Sustainability	2010	3	10	10	0	10
Equality	2010	4	5	5	0	5
Performance Management	2010	4	5	5	0	5
Efficiency	2010	4	5	5	0	5
Public Performance Reporting	2011	4	5	5	0	5
Partnerships	2012	4	5	5	0	5
Community & Customer Engagement	2011	4	5	5	0	5
Customer Focus	2011	4	5	5	0	5
<i>Section Total</i>			165	165	1	164
<i>CORPORATE/SERVICE PLANS</i>						
<i>Started</i>						
Customer Services - Corporate Governance	2011	1	15	15	6	9
<i>Not Started</i>						
Corporate Plan	2011	1	80	80	0	80
Community Services	2011	1	40	40	0	40
Development & Infrastructure Services - Dangerous Buildings	2011	1	35	35	0	35
Development & Infrastructure Services					0	0
Chief Executive's Unit	2010	1	10	10	0	10
<i>Section Total</i>			180	180	6	174
<i>Actual Direct Audit Time</i>			740	740	24	717
<i>Special investigations contingency</i>			50	50	35	15
<i>Section Total</i>			50	50	35	15
<i>Other Areas</i>						
Follow-up External & Internal Audit Management Letter Points	2010		80	80	7	73
NFI	2010		39	39	0	39
<i>Section Total</i>			119	119	8	111
TOTAL			909	909	66	843